

07-23 01

03004
PATENT
#4



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Way
Serial No.: 09/755,136
Filed: 01/08/2001
For: In-Band Wavelength Conversion Wavelength Buffering
and Multi-Protocol Lambda Switching

Group No.: Not assigned
Examiner: Not assigned

Commissioner for Patents
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE CORRECTED APPLICATION PAPERS

- I. This replies to the Notice to File Corrected Application Papers mailed March 30, 2001. A copy of the is enclosed.

SUBSTITUTE (FORMAL) DRAWINGS

- II. Enclosed are Substitute Drawings in compliance with 37 CFR 1.84, which are also formal drawings.

EXTENSION OF TIME

- III. Applicant requests an extension of time of two months. The Commissioner is authorized to charge Deposit Account 23-2415 (26084-709) in the amount of 195.00 for a two month extension of time for a small entity.
Fee Due: \$195.00

TOTAL FEE DUE

- IV. The total fee due is: Extension of Time Fees \$195.00

TOTAL FEE DUE \$195.00

PAYMENT OF FEES

- V. Charge Account No. 23-2415 (26084-709) in the amount of \$195.00.
Please charge Account No. 23-2415 (26084-709) for any fees that may be due by this paper.


Paul Davis, Reg. No. 29,294

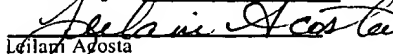
Wilson Sonsini Goodrich & Rosati
650 Page Mill Road
Palo Alto, CA 94304
(650) 493-9300
Customer No. 021971

07/25/2001 RHARIS1 00000003 232415 09755136
01 FC:216 195.00 CH

CERTIFICATE OF MAILING BY EXPRESS MAILING

I hereby certify that this paper or fee is being deposited with the U.S. Postal Service as Express Mail (Label No. EL757449864 US) in an enveloped addressed to: Commissioner for Patents, Washington, D.C. 20231

Date of Deposit 7/19/01


Lillian Agosta

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**FEE TRANSMITTAL
for FY 2001**

Patent fees are subject to annual revision.

Small entity payments must be supported by a small entity statement,
otherwise large entity fees must be paid. See Forms PTO/SB/09-12.
See 37 C.F.R. §§ 1.27 and 1.28.**TOTAL AMOUNT OF PAYMENT** (\$ 195.00)**Complete if Known**

Application Number	09/755,136
Filing Date	January 8, 2001
First Named Inventor	WINSTON WAY
Examiner Name	Not Assigned
Group/Art Unit	3662
Attorney Docket Number	26084-709

METHOD OF PAYMENT (check one)

- 1.
- ☒
- The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit
Account
Number

23-2415 (Docket No. 26084-709)

Deposit
Account
Name

Wilson Sonsini Goodrich & Rosati

☐ Charge Any Additional Fee Required
Under 37 CFR §§ 1.16 and 1.17

- 2.
- ☐
- Payment Enclosed:

☐ Check ☐ Money Order ☐ Other**FEE CALCULATION****1. BASIC FILING FEE**

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
101	710	201	355	Utility filing fee	
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	

SUBTOTAL (1) (\$)**2. EXTRA CLAIM FEES**

	Extra Claims	Fee from below	Fee Paid
Total Claims	-20** =		
Independent Claims	-3** =		
Multiple Dependent			

**or number previously paid, if greater; For Reissues, see below

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim, if not paid
109	80	209	40	**Reissue independent claims over original patent
110	18	210	9	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	390	216	195	Extension for reply within second month	195.00
117	890	217	445	Extension for reply within third month	
118	1,390	218	695	Extension for reply within fourth month	
128	1,890	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,240	241	620	Petition to revive - unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	710	246	355	Filing a submission after final rejection (37 CFR 1.129(a))	
149	710	249	355	For each additional invention to be examined (37 CFR 1.129(b))	
Other fee (specify)		25		Request for Corrected Filing Receipt	
Other fee (specify)		55/110		Terminal Disclaimer	

* Reduced by Basic Filing Fee Paid **SUBTOTAL (3)** (\$ 195.00)**SUBMITTED BY**

Name (Print/Type) Paul Davis

Registration No.
(Attorney/Agent) 29,294**Complete (if applicable)**

Telephone 650-493-9300

Signature

Date

7/19/01

Customer No. 021971

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
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WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/755,136	01/08/2001	Winston Way	PM 0271364

CONFIRMATION NO. 4981

FORMALITIES LETTER



OC000000005918746

Smithbury Madison & Sutro LLP
Intellectual Property Group
East Tower, Ninth Floor
1100 New York Avenue, N.W.
Washington, DC 20005-3918

Date Mailed: 03/30/2001

NOTICE TO FILE CORRECTED APPLICATION PAPERS

Filing Date Granted

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a)

The required item(s) identified below must be timely submitted to avoid abandonment:

- o Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

RECEIVED
MAR 20 2001
PATENT & TRADEMARK OFFICE